

Scottish Borders Council

Integrated Impact Assessment (IIA)

Part 1 Scoping

1 Details of the Proposal

Title of Proposal:	Grievance Policy and Procedure
What is it?	A new Policy/Strategy/Practice □ A revised Policy/Strategy/Practice X
Description of the proposal: (Set out a clear understanding of the purpose of the proposal being developed or reviewed (what are the aims, objectives and intended outcomes (including the context within which it will operate).	The aim of the procedure is to ensure that employees at Scottish Borders Council ("SBC") who feel aggrieved about their work, working environment or working relationships are given the opportunity to express their views and to have the issues resolved in a fair manner and as early as possible, ideally resulting in a mutually acceptable resolution. The procedure seeks to achieve solutions through appropriate informal methods prior to the use of the formal processes. With the exception of the Chief Executive the procedure is applicable to all employees of SBC.
Service Area: Department:	Human Resources
Lead Officer: (Name and job title)	Iain Davidson Employee Relations Manager

Other Officers/Partners involved: (List names, job titles and organisations) Simone Doyl	e Equality & Diversity Officer Human Resources				
Date(s) IIA completed: 25 th January	25 th January 2022				
Will there be any cumulative impacts as a result of the relationship between this proposal and other policies?					
Yes No x					
If yes, - please state here: 3 Legislative Requirements					
3.1 Relevance to the Equality Duty:					
Do you believe your proposal has any relevance under the Equality Act 2010? Yes (If you believe that your proposal may have some relevance – however small please indicate yes. If there is no effect, please enter "No" and go to Section 3.2.)					
Equality Duty	Reasoning:				
Elimination of discrimination (both direct & indirect), victimisation and harassment. (Will the proposal discriminate? (help eliminate discrimination?)	This Policy and Procedure should result in an overall positive impact on all employees as it should ensure that grievances				

Promotion of equality of opportunity? (Will your proposal help or hinder the Council with this)	are resolved fairly and as quickly as possible. The Procedure describes equal opportunities as one of the main issues which may give rise to grievances. This demonstrates SBC's			
Foster good relations? (Will your proposal help or hinder the council s relationships with those who have equality characteristics?)	commitment to eliminating discrimination, advancing equality and fostering good relations.			
	The policy allows employees to formally bring grievances related to discrimination and discriminatory behaviour so provides a formal means for these aims to be advanced.			

3.2 Which groups of people do you think will be or potentially could be, impacted by the implementation of this proposal? (You should consider employees, clients, customers / service users, and any other relevant groups)

Please tick below as appropriate, outlining any potential impacts on the undernoted equality groups, this proposal may have and how you know this.

	Impact			Please explain the potential impacts and how you
	No Impact	Positive Impact	Negative Impact	know this
Age Older or younger people or a specific age grouping		X		The Policy and Procedure is designed to meet the needs of all employees and ensure grievances are
Disability e.g. Effects on people with mental, physical, sensory impairment, learning disability, visible/invisible, progressive or recurring				addressed in a fair, consistent and timely manner. This Policy and Procedure has many positive impacts for all of the Equality characteristic groups.
Gender Reassignment Trans/Transgender Identity anybody whose gender identity or gender expression is different to the sex assigned to them at birth				Therefore to avoid repetition this impact assessment has been completed covering the Equality Groups collectively. Where positive aspects are applicable to any particular group, additional information is
Marriage or Civil Partnership people who are married or in a civil partnership				detailed below.

Pregnancy and Maternity (Pregnancy is the condition of being pregnant/expecting a baby. Maternity refers to the period after the birth, and is linked to maternity leave in the employment context. In the non-work context, protection against maternity discrimination is for 26 weeks after giving birth),

Race Groups: including colour, nationality, ethnic origins, including minorities (e.g. gypsy travellers, refugees, migrants and asylum seekers)

Religion or Belief: different beliefs, customs (including atheists and those with no aligned belief)

Sex – Gender Identity women and men (girls and boys) and those who self-identify their gender

Sexual Orientation, e.g. Lesbian, Gay, Bisexual, Heterosexual

The Policy and Procedure acknowledges that employees with a disability may require additional support whilst following the procedure. The Policy clearly states that adjustments to the procedure can be made for employees with a disability. These include allowing carers or advocates to accompany disabled employees whilst attending grievance meetings.

Similarly Young Persons may be accompanied by a parent or legal guardian.

In addition the Procedure clearly states that on request the Procedure and any associated paperwork can be made available in a different format or language. This mitigates the risk that ethnic minority employees who do not speak English as a first language, or employees with a visual impairment could be negatively impacted. Furthermore the Procedure is written in non -gender specific language.

3.3 Fairer Scotland Duty

This duty places a legal responsibility on Scottish Borders Council (SBC) to actively consider (give due regard) to how we can reduce inequalities of outcome caused by socioeconomic disadvantage when making strategic decisions.

The duty is set at a strategic level - these are the key, high level decisions that SBC will take. This would normally include strategy documents, decisions about setting priorities, allocating resources and commissioning services.

Г						
Is the pro	posal strategic?					
Yes		No	>	(
	s Policy and Procedure is a Co yees, it is considered that the					n the exception of the Chief Executive is applicable to to the Fairer Scotland Duty.
If No go	to Section 4					
If yes, ple	ease indicate any potential impa	act on the	e under	noted gro	oups this p	roposal may have and how you know this:
			Impact			State here how you know this
		ı	No Impact	Positive Impact	Negative Impact	
meet basi	or No Wealth – enough money to cliving costs and pay bills but hat deal with any unexpected spendon for the future.	ve no				
basic good like life ins	Deprivation – being unable to ac ds and services i.e. financial proc surance, repair/replace broken goods, warm home, leisure and					
	rivation – where you live (rural here you work (accessibility of					
	onomic Background – social cla ducation, employment and incom					
Looked a	fter and accommodated childre	en				

Carers paid and unpaid including family members				
Homelessness				
Addictions and substance use				
Those involved within the criminal justice system				
4 Full Integrated Impact Assessment Required				
Tick No if you have answered "No" to all	of Sections 3.1 – 3.3.			
Yes No	□X			
If a full impact assessment is not required briefly explain why there are no effects and provide justification for the decision.				
This is a review of an existing Policy and Proce	edure.			
This Policy and Procedure aims to achieve solutions informally prior to using the formal route, and most cases are resolved informally.				
The number of formal grievances has been fairly consistent over the last few years:				
2021/22 (to date) - 4.				
2020/21 – 9				

2019/20 - 10

2018/19 - 7

Consequently, this assessment has been conducted to ensure that the Policy and Procedure remains relevant and fit for purpose.

The Policy and Procedure will continue to be monitored and formal grievances analysed. Further investigation will take place where any trends emerge.

Additionally, the Policy and Procedure will be reviewed in accordance with the Council's HR Policy Review Programme or as required by legislative requirements in order to ensure that the Policy and Procedure remain relevant and fit for purpose. As a minimum an Integrated Impact Assessment will be carried out every two years.

.

Signed by Lead Officer:	Iain Davidson
Designation:	Employee Relations Manager
Date:	25 th January 2022
Counter Signature Director	Clair Hepburn
Date:	17/05/2022